

# **AUDIT & GOVERNANCE COMMITTEE – 13 January 2015**

## **REPORT OF THE AUDIT WORKING GROUP (AWG)**

The Audit Working Group met on Wednesday 9 December 2015.

The meeting was attended by:

Chairman Dr Geoff Jones; Cllr David Wilmshurst; Cllr Sandy Lovatt; Cllr Jenny Hannaby; Cllr John Tanner; Ian Dyson, Chief Internal Auditor; Nick Graham, Chief Legal Officer and Monitoring Officer; Lorna Baxter, Chief Finance Officer; Neil Shovell, Audit Manager; Joseph Turner (minutes).

Part Meeting:

AWG 15.36 Rikke Hansen, Programme Manager; Russell Heep, Business Development Support Officer.

### **Matters to Report:**

#### **AWG 15.36 EE Annual Risk Management Review**

The Group received a copy of the current risk register as monitored by EE Directorate Leadership Team, and a report on the ongoing work/forward plan for risk and issues management in E&E. The report highlighted any significant changes to the risks since the register was last reviewed by the AWG.

The Group appreciated the detail provided and the format of the report, and was assured by the risk management process being applied by EE for the monitoring of their strategic and operational risks.

#### **AWG 15.37 Risk Management Update**

The Group received the latest risk management update including the Q2 CCMT level risks that are reported to both CCMT and the Delivery Board as part of the quarterly business management report. The Group noted that in a number of cases current risk scores remained high despite actions taken to mitigate them, and that the risks had been like that for a long period of time. The Group was therefore concerned that target risk scores would not be achieved within the timescales stated. The Chief Internal Auditor was tasked with reporting these concerns back to CCMT, with the recommendation that CCMT review the risks again to ensure the actions planned are both accurate and achievable. The AWG has requested a report on the outcome of that review to their meeting in February 2016.

The 2016 meeting dates and work programme is attached as Appendix 1.

**The Committee is RECOMMENDED to note the report.**

**LORNA BAXTER**

Chief Finance Officer

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**AUDIT WORKING GROUP  
WORK PROGRAMME 2016**

**04 February 2016 - 14:00 - 16:00 (Audit & Governance meeting 24 February 2016)**

- Risk Management Update Q3 and feedback on queries raised with CCMT
- Internal Audit update
- Strategic Risk Register

**07 April 2016 - 14:00 - 16:00 (Audit & Governance meeting 20 April 2016)**

- Management Update on Transport Safeguarding - Jim Leivers & Sue Scane
- Internal Audit update
- Corporate Services Risk Management process

**16 June 2016 - 14:00 - 16:00 (Audit & Governance meeting 13 July 2016)**

***PLEASE NOTE THE DATE FOR THIS MEETING IS UNDER REVIEW***

- Internal Audit update
- Draft Annual Governance Statement
- Risk Management update

**01 September 2016 - 14:00 - 16:00 (Audit & Governance meeting 14 September 2016)**

**27 October 2016 - 14:00 - 16:00 (Audit & Governance meeting 09 November 2016)**

**24 November 2016 - 14:00 - 16:00 (Audit & Governance meeting 11 January 2017)**

Ian Dyson  
Chief Internal Auditor