

AUDIT & GOVERNANCE COMMITTEE – 23 April 2014

REPORT OF THE AUDIT WORKING GROUP (AWG)

The Audit Working Group met on 20 March 2014

The meeting was attended by:

Dr Geoff Jones – Chairman; Cllr Wilmshurst; Cllr Mathew; Lorna Baxter, and Ian Dyson.

Part meeting only: Cllr. Roz Smith; AWG13.60 Steve Thomas and Gill Halstead; AWG13.61 John McLauchlan.

Observer: Cllr Pressel

Apologies: Peter Clark.

Main business items of the meeting were as follows:

Risk Management Update - Ian Dyson

SCS Risk Management process review - Steve Thomas

EE Risk Management Process Review - John McLauchlan

Matters to report:

This meeting focussed on the Risk Management System. The Group received the corporate update report, highlighting the key risks from the Directorate Risk Registers, noting that the risk registers from the services within the Chief Executive's Office had not been submitted as part of the reporting process. The Group was informed this was being incorporated into the process for future reporting. The Group was informed that the quality review and internal audit of the risk management process had not been concluded, but noted the areas for improvement that have been identified, highlighted in the update report.

The Group then received presentations by the risk management leads from Social and Community Services, and from Environment and Economy, on the risk management process in their Directorates. The current risk registers were also presented and considered. The Group was satisfied that the risk management process was shown to be working across these Directorates.

The Committee is recommended to note the report

Lorna Baxter
Chief Finance Officer

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