

Discretion	Regulation	Exercised by	Notes
Whether to agree to an admission agreement with a Care Trust, NHS Scheme employing authority or care Quality Commission	R4(2)(b)		Report will be submitted to PFC for decision
Whether to agree to an admission agreement with a body applying to be an admission body	R5(5) & RSch 2, Part 3, para 1		Report will be submitted to PFC for decision
Whether to terminate a transferee admission agreement in the event of - insolvency, winding up or liquidation of the body - breach by that body of its obligations under the admission agreement - failure by that body to pay over sums due to the Fund within a reasonable period of being requested to do so	RSch 2, Part 3, para 9(d)		Report will be submitted to PFC for decision
Define what is meant by "employed in connection with"	RSch 2, Part 3, para 12(a)		Previously determined that this would mean that work would be same as prior to any TUPE and relate to Oxfordshire.
Whether to turn down a request to pay an APC/SCAPC over a period of time where it would be impractical to allow such a request (e.g. where the sum being paid is very small and could be paid as a single payment)	R16(1)		NEW – Recommend decision delegated to Officers
Whether to require a satisfactory medical before agreeing to an application to pay an APC / SCAPC	R16(10)		Previously determined that any scheme member wishing to buy additional benefits would require medical to confirm fitness ahead of signing the contract.
Whether to turn down an application to pay an APC / SCAPC if not satisfied that the member is in reasonably good health.	R16(10)		NEW - Linked to above decision
Whether to charge member for provision of estimate of additional pension that would be provided by the Scheme in return for transfer of in house AVC/SCAVC funds (where AVC/SCAVC arrangement was entered into before 1/4/14)	TP15(1)(d) & A28(2)		NEW – this information is provided at retirement. Do not see the need for a charge to be introduced
Decide to whom any AVC/SCAVC monies (including	R17(12)		NEW – links to TP17(5) to (8) & R40(2), R43(2) & R46(2) which

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life assurance monies) are to be paid on death of the member			is delegated to Officers unless a contentious case
Pension account may be kept in such form as is considered appropriate	R22(3)(c)		NEW - Pension accounts will kept in line with regulatory and system requirements
Decide, in the absence of an election from the member within 12 months of ceasing a concurrent employment, which on-going employment benefits from the concurrent employment which has ceased should be aggregated (where there is more than one on-going employment)	TP10(9)		NEW – Recommend merge with next record, determined by start date – check with managers
Whether to require any strain on Fund costs to be paid “up front” by employing authority following payment of benefits under R30(6) (flexible retirement), R30(7) (redundancy / business efficiency), or the waiver (in whole or in part) under R30(8) of any actuarial reduction that would otherwise have been applied to benefits which a member voluntarily draws before normal pension age or to benefits drawn on flexible retirement	R68(2)		<p>This committee has previously determined that the maximum length of any repayment period for a Capital Cost is</p> <ul style="list-style-type: none"> • The lesser of 5 years; or • The period starting from the day after retirement to: • The later of age 60; or • The earliest retirement date. <p>Also that there will be no interest added to any Capital Cost not paid immediately.</p> <p>Also refers to ill-health – see report</p>
Whether to require any strain on Fund costs to be paid “up front” by employing authority following waiver of actuarial reduction under TPSch 2, para 2(3)	TPSch 2, para 2(5)		NEW – unlikely that employers would want to bear this cost. However, include in above if they wish to waive reduction.
Whether to extend the time limits within which a member must give notice of the wish to draw benefits before normal pension age or upon flexible retirement	R32(7)		Currently 3 months
Decide whether to commute small pension	R34(1)		To commute , on member request, in line with HMRC rules

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			and limits.
Approve medical advisors used by employers (for ill health benefits)	R36(3)		Delegated to Officers
Whether to use a certificate produced by an IRMP under the 2008 Scheme for the purposes of making an ill health determination under the 2014 Scheme.	TP12(6)	Employer (or Admin. Authority where Employer has become defunct)	Yes
Decide whether deferred beneficiary meets criteria of being permanently incapable of former job because of ill health and is unlikely to be capable of undertaking gainful employment before normal pension age or for at least three years, whichever is sooner	R38(3)	Employer (or Admin. Authority where Employer has become defunct)	Report will be submitted to PFC for decision
Decide whether a suspended ill health tier 3 member is unlikely to be capable of undertaking gainful employment before normal pension age because of ill health	R38(6)	Employer (or Admin. Authority where Employer has become defunct)	Report will be submitted to PFC for decision
Decide to whom death grant is paid	TP17(5) to (8) & R40(2), R43(2) & R46(2)		Currently delegated to Officers unless contentious case
Decide, in the absence of an election from the member, which benefit is to be paid where the member would be entitled to a benefit under 2 or more regulations in respect of the same period of Scheme membership	R49(1)(c)		Currently delegated to Officers
Whether to set up a separate admission agreement fund	R54(1)		Not decided
Whether to have a written pensions administration strategy and, if so, the matters it should include	R59(1) & (2)		Previous relied upon SLA
Whether to obtain revision of employer's contribution rate if there are circumstances which make it likely a Scheme employer will become an exiting employer	R64(4)		Currently delegated to Officers

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Decide whether to obtain a new rates and adjustments certificate if the Secretary of State amends the Benefits Regulations as part of the "cost sharing" under R63	R65		Report will be submitted to PFC for decision
Decide frequency of payments to be made over to Fund by employers and whether to make an admin charge.	R69(1)		Payments required monthly to be paid over by 19 month following deduction. Currently only administration charge made is for employers who make more than one payment to OCC bank account rather than the fund account.
Whether to issue employer with notice to recover additional costs incurred as a result of the employer's level of performance.	R70 & TP22(2)		Recommend members to authorise implementing charges in cases where employers consistently fail to provide information.
Decide form and frequency of information to accompany payments to the Fund	R69(4)		Paperwork to be provided detailing monthly payments by 19 month following deduction.
Whether to charge interest on payments by employers which are overdue	R71(1)		Propose that any employer making a late payment on three occasions in a rolling six month period is charged base rate + 2%
Decide procedure to be followed by admin authority when exercising its stage two IDRPs functions and decide the manner in which those functions are to be exercised	R76(4)		Currently delegated to officers
Whether admin. authority should appeal against employer decision (or lack of a decision)	R79(2)		Current delegated to officers
Specify information to be supplied by employers to enable admin. authority to discharge its functions	R80(1)(b) & TP22(1)		Detailed spreadsheet request sent out to all employers
Whether to pay death grant due to personal representatives or anyone appearing to be beneficially entitled to the estate without need for grant of probate / letters of administration where payment is less than amount specified in s6 of the Administration of Estates (Small Payments) Act 1965	R82(2)		Currently delegated to officers

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Whether, where a person (other than an eligible child) is incapable of managing their affairs, to pay the whole or part of that person's pension benefits to another person for their benefit.	R83		Report will be submitted to PFC for decision
Date to which benefits shown on annual benefit statement are calculated	R89(5)		End of Scheme Year – 31 March
Agree to bulk transfer payment	R98(1)(b)	Employer / Admin. Authority / trustees of new scheme	Currently delegated to officers in conjunction with Fund Actuary
Agree set aside of bulk transfer assets / cash and acquisition of rights in new scheme	R98(4)(a)	Employer / Admin. Authority / trustees of new scheme	Currently delegated to officers in conjunction with Fund Actuary
Extend normal time limit for acceptance of a transfer value beyond 12 months from joining the LGPS	R100(68)	Employer and Admin. Authority	NEW - Previously an employer only decision – recommend that administering authority endorses employer decision
Allow transfer of pension rights into the Fund	R100(7)		NEW – recommend committee continue to allow transfers in
Where member to whom B10 applies (use of average of 3 years pay for final pay purposes) dies before making an election, whether to make that election on behalf of the deceased member	TP3(6), TP4(6)(c), TP8(4), TP10(2)(a), TP17(2)(b) & B10(2)		NEW – recommend delegate to officers to use best option for member
Make election on behalf of deceased member with a certificate of protection of pension benefits i.e. determine best pay figure to use in the benefit calculations (pay cuts / restrictions occurring pre 01.04.08)	TP3(6), TP4(6)(c), TP8(4), TP10(2)(a), TP17(2)(b) & TSch 1 & L23(9)		NEW – recommend delegate to officers to use best option for member
Decide to treat child as being in continuous education or vocational training despite a break	RSch 1 & TP17(9)		Committee has previously agreed that a gap year does not constitute a break
Decide evidence required to determine financial dependence of cohabiting partner on scheme member or financial interdependence of cohabiting partner and scheme member	RSch 1 & TP17(9)(b)		CHANGE – current system is by nomination. Regulation changes this to evidence of financial dependence after death of member.

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Extend time period for capitalisation of added years contract	TP15(1)(c) & TSch1 & L83(5)		Currently part of the retirement process – very few cases – no need to extend time period.