

AUDIT & GOVERNANCE COMMITTEE – 19 SEPTEMBER 2012

WORK PROGRAMME 2012/13

2012

Wed 21 November

Risk Management Annual Report (Provisional)
(Alexandra Bailey)

Treasury Management Mid Term Review 2012/13
(Sue Scane / relevant officer)

Annual Governance Statement – Action Plan Progress
(Peter Clark)

Audit Commission – Annual Audit Letter

2013

Wed 16 January

Statement on Internal Control – Annual Review of Effectiveness
(Peter Clark & Sue Scane)

Internal Audit Plan – 2012/13 Progress Report and Quarter 4 Plan
(Ian Dyson)

Review of the Process for Reporting on the Effectiveness of the System of Internal Audit
(Ian Dyson)

Treasury Management Strategy
(Sue Scane / relevant officer)

Audit Committee - Draft Work Programme 2013/14
(Co-ordinated by Committee officer in consultation with relevant directorate officers)

Wed 27 February

Audit Committee Annual Report to Council 2012
(in accordance with the process adopted by the Committee on 29 November 2006)

Internal Audit Services-Internal Audit Strategy & Annual Plan 2013/14
(Ian Dyson)

Standing Items:

- Audit Working Group Reports
(Ian Dyson)

- Business Strategy: updates & key extracts from the cabinet Financial Monitoring & Business Strategy Delivery Report (Sue Scane)
- Scrutiny - governance & control matters (Alexandra Bailey)
- Audit Committee Work Programme – update/review (Committee Officer/Chairman/relevant officers)

Other matters

AWG 5 Draft Annual Governance Statement

In addition to the inclusion of an action regarding the management control over the Accounts Payable system (AWG7 above), The Group suggested some minor changes to the draft Annual Governance Statement.

Sections 66 - 72 of the draft reviewed refers to "Other external review/assurance mechanisms"; the Group was concerned that with the exception of the Audit Commission reports, the Audit Committee has no oversight of the reports received from other external inspection agencies. It is recommending that a report be brought to the Audit and Governance Committee setting out how these external reports that are used to inform the AGS are received and monitored; in particular how would the Committee be informed at an early stage if there are any governance issue arising from the reports, and what assurance can they get that areas identified for improvement are being considered and actioned on a timely basis

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September 2012